APPLICATION FOR REGISTRATION
NONPROFIT RAFFLE PROGRAM
(California Penal Code section 320.5)

The registration period is September 1 to August 31. After August 31, a new registration is required.

A CHECK IN THE AMOUNT OF $20 MADE PAYABLE TO DEPARTMENT OF JUSTICE MUST ACCOMPANY THIS REGISTRATION FORM

MAIL TO:
Office of the Attorney General
Registry of Charitable Trusts
P.O. Box 903447
Sacramento, CA 94203-4470

STREET ADDRESS:
1300 I Street
Sacramento, CA 95814
(916) 210-6400

WEBSITE ADDRESS:
www.ag.ca.gov/charities

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Proof of California Franchise Tax Board exempt status must be attached to this registration application. This application will otherwise be deemed deficient and returned to the organization.

<table>
<thead>
<tr>
<th>Name of Organization</th>
<th>California State Horsemens's Association, incorporated</th>
</tr>
</thead>
<tbody>
<tr>
<td>Address of Organization</td>
<td>1330 W. Robinhood Dr. Suite D</td>
</tr>
<tr>
<td>City or Town, State and ZIP Code</td>
<td>Stockton, CA 95207</td>
</tr>
<tr>
<td>E-mail Address</td>
<td><a href="mailto:californiastatehorsemen@gmail.com">californiastatehorsemen@gmail.com</a></td>
</tr>
<tr>
<td>Telephone Number</td>
<td>209-227-7110</td>
</tr>
<tr>
<td>Fax Number</td>
<td>888-389-0359</td>
</tr>
</tbody>
</table>

Raffle Registration Number: 1421

Provide at least one of the following:

- Federal Employer Identification Number (FEIN): 94-1091755
- Corporate Number: 0190113
- Organization Number: N/A
- State Charity Registration Number: 024517

Specify the organization’s tax - exempt status pursuant to California Revenue and Taxation Code section:

- [ ] 23701a Labor, agricultural, or horticultural organizations
- [ ] 23701b Fraternal beneficiary societies, orders or associations
- [x] 23701d Religious, charitable, scientific, testing for public safety, literary, educational, amateur sports or prevention of cruelty to children or animals organization
- [ ] 23701e Business leagues, chambers of commerce, real estate boards, and boards of trade
- [ ] 23701f Civic leagues, social welfare organizations and local employee organizations
- [ ] 23701g Nonprofit pleasure and recreation clubs
- [ ] 23701k Religious or apostolic corporations having common or
- [ ] 23701l Domestic fraternal societies, orders or associations
- [ ] 23701t Homeowners and associations
- [ ] 23701w Veterans organizations

Proposed date(s) of raffle(s) [REQUIRED]

(month/day/year)

By signing this application for registration, I hereby certify all of the following:

1. [ ] Applicant is a private, nonprofit organization, 2. [x] Applicant has been qualified to conduct business in the State of California for at least one year prior to the raffle first held and 3. [x] all information provided on this application is true and correct.

Signature of Authorized Officer or Director Who Prepared This Form

James G. Hendrickson

Printed Name of Authorized Officer or Director

Chairman of the Board; CSHA President

Title of Authorized Officer or Director
# NONPROFIT RAFFLE REPORT

A separate report must be completed for each raffle conducted during a reporting year (September 1 through August 31).

Reports are due on or before September 1.  
(California Penal Code section 320.5)

### Part A: General Organization Reporting Information

<table>
<thead>
<tr>
<th>Information</th>
<th>Provide at least one of the following:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name of Organization</td>
<td>Raffle Registration Number:</td>
</tr>
<tr>
<td>Address of Organization</td>
<td>Federal Tax Identification Number/</td>
</tr>
<tr>
<td></td>
<td>Employer Identification Number:</td>
</tr>
<tr>
<td>City or Town, State and ZIP Code</td>
<td>Corporate Number:</td>
</tr>
<tr>
<td>E-mail Address:</td>
<td>Organization Number:</td>
</tr>
<tr>
<td>Telephone Number:</td>
<td>Charitable Trust Number:</td>
</tr>
<tr>
<td>Fax Number:</td>
<td></td>
</tr>
</tbody>
</table>

### Part B: Raffle Information (A separate report must be completed for each raffle held during this reporting year.)

1. Date of raffle: ____________________________
   Location of raffle: ____________________________
   City: ____________________________
   County: ____________________________

2. Total funds received from sale of raffle tickets: $ _____

3. Total expenses for conducting the raffle: $ _____

4. Were funds from sources other than ticket sales used to pay for administration or other costs of conducting the raffle?  
   Yes [ ]  No [ ]

   If yes,
   4(A) How much money from sources other than ticket sales was spent for the administration or other costs of conducting the raffle?
   __________________________________________________________

   4(B) What was the source of this money?
   __________________________________________________________
5. Were some or all of the funds used for the benefit of another eligible organization?  Yes [ ] No [ ]

5(A) If the answer to 5 above is yes, then provide the following information for each organization for which the funds were used. Attach additional sheets of paper, if necessary.

<table>
<thead>
<tr>
<th>Recipient Organization</th>
<th>Amount of Proceeds to Organization</th>
</tr>
</thead>
<tbody>
<tr>
<td>Address of Organization</td>
<td>Contact Person for Organization</td>
</tr>
<tr>
<td>City, State, and ZIP Code</td>
<td>Organization's Telephone Number</td>
</tr>
</tbody>
</table>

**Part C: Certification by Fiduciary of Reporting Organization**

For the raffle held on ________________________________, I hereby certify that:

| 1) | At least 90% of the total funds received from the sale of raffle tickets was used for the beneficial or charitable purposes of the eligible organization conducting the raffle or for the benefit of another eligible organization. | True [X] False [ ] |
| 2) | None of the funds required to be used for beneficial or charitable purposes were provided to an officer, director or member (as defined by Corporations Code section 5056) of the organization which conducted the raffle. | True [X] False [ ] |
| 3) | No person involved in or connected with the conduct of the raffle was compensated by the organization conducting the raffle from raffle proceeds required to be used for beneficial or charitable purposes. | True [X] False [ ] |
| 4) | No gaming machine, apparatus or device, including but not limited to one which meets the definition of a slot machine as contained in California Penal Code sections 330a, 330b or 330.1, was used in conducting the raffle. | True [X] False [ ] |
| 5) | No individual corporation, partnership or other legal entity has or holds a financial interest in the conduct of the raffle other than the organization conducting the raffle or any private, nonprofit eligible organization which received funds from the raffle. | True [X] False [ ] |
| 6) | No raffle was conducted, and no raffle tickets were sold, traded, or redeemed, within an operating racetrack enclosure, satellite wagering facility, or gambling establishment. | True [X] False [ ] |
| 7) | The raffle was not announced, mentioned, referred to, advertised, operated, or conducted in any way over the Internet other than an announcement of the raffle on the web site of the organization conducting the raffle. Tickets were not sold, traded or redeemed over the internet. | True [X] False [ ] |

If you answered “False” to any question in Part C, Items 1 through 7, please explain the circumstances that support your answer. Use additional sheets of paper, if necessary, for your explanation. If you answered “False” to more than one question, be sure to reference the item number next to each explanation.

In signing this Nonprofit Raffle Report I hereby certify that all of the information contained here is true and correct.

______________________________
Signature of Fiduciary Who Prepared the Report

______________________________
Date

______________________________
Printed Name of Fiduciary

______________________________
Title of Fiduciary